



ABA

Alabama Bankers Association, Inc.

Live Seminar

Internal Audit: THINK TANK

August 23 & 24, 2022

Registration:

8:00 AM

Business Session: Day 1

8:15 AM – 3:00 PM

Business Session: Day 2

8:00 AM – 3:00 PM

[Birmingham Marriott](#) – 3590 Grandview Parkway, Birmingham, AL

FEES:

Members: \$745

Non-Members: \$1195

The Internal Audit Think Tank is designed to focus on practical application of effective risk management and internal audit strategies. The training will cover the following elements and include discussion of best practices for an efficient and effective internal audit function.

Day 1 – Internal Audit Engagement Planning including Risk Assessment Formulation, Execution, and Best Practices

- Internal Audit Planning Process (important elements and what factors must be considered)
- Consideration of Data Mining Tools within Internal Audit
- Risk Assessment Formulation
- Discuss Practical Risk Assessment Examples
- Linkage of Risks to Internal Audit Program Development
- Fraud Considerations
- Employee Training and Development of Internal Controls
- Audit Committee Education & Involvement
- Work Scope/Internal Audit Program Generation
- Internal Audit Fieldwork Execution – How to effectively perform the internal audit?
- Internal Audit Best Practices
- Risk Management Software Considerations

Day 2 – Internal Audit Procedures for specific areas including Project and Vendor Management, Internet and Mobile Banking; Internal Audit Common Issues; Effective Communication of Findings/Results; Appropriate Follow-up

- Project and Vendor Management - What are the internal audit requirements?
- Internet and Mobile Banking – What are the focus areas for internal audit?
- Common Internal Audit Issues – How to avoid them?
- Effective Employee Statement Review
- Communication of Internal Audit Findings/Results
- Audit Committee Engagement
- Tracking Internal Audit Results
- Strategies for Tracking Findings and Appropriate Follow-up
- Discussion of Problematic Internal Audit Areas and Appropriate Approach

PRESENTED For ABA by: Carr, Rigs & Ingram, LLC - Chris Cain

Intended for chief risk officers, internal auditors, audit committee members and members of the bank that are involved in the internal audit or risk management functions should make plans to attend this pertinent course.

QUESTIONS? EMAIL Debbie Pharr at dpharr@alabama.bank

By registering for this seminar, you give your permission to be photographed and for those photographs to be used by the association.

ABA Cancellation Policy: A processing fee of **\$100** applies to all cancellations. We will gladly refund 100 percent of your registration fee (minus \$100 processing fee) if notice is given by 12:00 noon, 10 business days before an event.

After 10 business days, no money can be refunded, credited or transferred, although substitution of a participant is welcomed.

Schools and sessions 2 days or more, cancellation processing fee – \$200 or more TBD.

Late Registration: Please note, there will be a \$50 late registration fee for all attendees that register later than 10 business days before the seminar.

Non-ABA Members: Prepayment is required for all non-member attendees for any event.

Early Bird Rates: When applicable, to qualify for the early bird rate, full payment **MUST** be received by advertised date. Otherwise, additional billing for the regular rate will be necessary.

Note: If registrant has received any manual/handouts prior to cancellation, no money can be refunded, credited or transferred.